

# Topics in Algebra - Singularities in Positive Characteristic

Eric Walker\*

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\*Dr. Miller

## Semester 2

### 1.1 Review of Algebraic Geometry: Schemes

**Remark 1.1.1.** The model for us is schemes. Recall that, roughly, schemes are topological spaces with sheaves. A presheaf  $\mathcal{F}$  of abelian groups (eventually, rings) on a topological space  $X$  is an assignment of an abelian group (ring)  $\mathcal{F}(U)$  for each open set  $U \subseteq X$  and restriction maps  $\mathcal{F}(U) \rightarrow \mathcal{F}(V)$  for  $V \subseteq U$ . We denote sections by  $\Gamma(U, \mathcal{F}) = \mathcal{F}(U)$  and the image of  $s \in \Gamma(U, \mathcal{F})$  in  $\Gamma(V, \mathcal{F})$  as  $s|_V$ .

**Example 1.1.2.** If  $X$  is a complex manifold, then  $\mathcal{O}_X$  is the presheaf of holomorphic functions, and

$$\mathcal{O}_X(U) = \{f : U \rightarrow \mathbf{C} \text{ holomorphic}\}.$$

**Example 1.1.3.** Fix an abelian group  $A$ . We can form a presheaf  $\mathcal{F}_A(U) = A$  with all restriction maps  $\mathcal{F}_A(U) \xrightarrow{\text{id}} \mathcal{F}_A(V)$ .

**Example 1.1.4.** Fix  $x \in X$  and an abelian group  $A$ . We get a presheaf

$$\kappa_A(x)(U) = \begin{cases} A & \text{if } x \in U; \\ 0 & \text{if } x \notin U. \end{cases}$$

The presheaf  $\kappa_A(x)$  is called the skyscraper presheaf at  $x$ .

**Definition 1.1.5** (sheaf). A presheaf  $\mathcal{F}$  is a **sheaf** provided for each open cover  $\{U_i\}$  of  $X$  and  $s_i \in \Gamma(U_i, \mathcal{F})$  with  $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$ , there is a unique  $s \in \Gamma(X, \mathcal{F})$  such that  $s|_{U_i} = s_i$ .

**Example 1.1.6.** Of the previous three examples **Example 1.1.2**, **1.1.3**, and **1.1.4**, the presheaf of holomorphic functions is a sheaf and the skyscraper presheaf is a sheaf, but the constant presheaf is not a sheaf. Let  $A = \mathbf{Z}$ ; consider a connected topological space  $X$  and disjoint open subsets  $U_1$  and  $U_2$  in a fixed cover  $\{U_i\}$  of  $X$  such that  $s_1 = 2$  on  $U_1$  and  $s_2 = 3$  on  $U_2$ . Then  $s_1|_{U_1 \cap U_2} = s_2|_{U_1 \cap U_2}$  since  $U_1 \cap U_2 = \emptyset$ , but there is no global section  $s$  for which  $s|_{U_1} = s_1$  and  $s|_{U_2} = s_2$ .

**Definition 1.1.7** (sheafification). To any presheaf  $\mathcal{F}$ , there is a unique sheaf  $\mathcal{F}^+$ , the **sheafification** of  $\mathcal{F}$ , with the universal property that there is a map of presheaves  $\mathcal{F} \rightarrow \mathcal{F}^+$  so that for any sheaf  $\mathcal{G}$  and map  $\mathcal{F} \rightarrow \mathcal{G}$ , there is a diagram

$$\begin{array}{ccc} \mathcal{F} & \longrightarrow & \mathcal{F}^+ \\ & \searrow & \vdots \\ & & \mathcal{G} \end{array}$$

**Remark 1.1.8.** Sheafification is used to define an abelian category of sheaves. For example, for a map of sheaves  $\mathcal{F} \rightarrow \mathcal{G}$ , we define  $\text{im}(\mathcal{F} \rightarrow \mathcal{G})$  to be the sheafification of the presheaf  $U \mapsto \text{im}(\mathcal{F}(U) \rightarrow \mathcal{G}(U))$ .

**Warning! 1.1.9.** A sequence of presheaves

$$\dots \rightarrow \mathcal{F}^{i-1} \rightarrow \mathcal{F}^i \rightarrow \mathcal{F}^{i+1} \rightarrow \dots$$

is exact if and only if

$$\dots \rightarrow \mathcal{F}^{i-1}(U) \rightarrow \mathcal{F}^i(U) \rightarrow \mathcal{F}^{i+1}(U) \rightarrow \dots$$

is exact for all open sets  $U \subseteq X$ . But this does *not* characterize exactness as sheaves. Instead, one uses stalks, which are, for  $x \in X$ , the group

$$\mathcal{F}_x = \varinjlim_{x \in U} \mathcal{F}(U).$$

Thus

$$\dots \rightarrow \mathcal{F}^{i-1} \rightarrow \mathcal{F}^i \rightarrow \mathcal{F}^{i+1} \rightarrow \dots$$

is exact as sheaves if and only if

$$\dots \rightarrow \mathcal{F}^{i-1}_x \rightarrow \mathcal{F}^i_x \rightarrow \mathcal{F}^{i+1}_x \rightarrow \dots$$

is exact.

**Definition 1.1.10** (ringed space). A **ringed space** is a pair  $(|X|, \mathcal{O}_X)$  of a topological space and a sheaf of rings  $\mathcal{O}_X$ , called the structure sheaf.

**Example 1.1.11.** Let  $|X|$  be any complex manifold and set  $X = (|X|, \mathcal{O}_X)$  where  $\mathcal{O}_X$  is the sheaf of holomorphic functions.

**Example 1.1.12.** Fix any commutative ring  $R$ . We get a topological space

$$\text{Spec } R = \{\mathfrak{p} \subseteq R \mid \mathfrak{p} \text{ is a prime ideal}\}.$$

For  $f \in R$ , set  $V(f) = \{\mathfrak{p} \in \text{Spec } R \mid f \in \mathfrak{p}\} \subseteq \text{Spec } R$ . Declare  $U_f = \text{Spec } R \setminus V(f)$  to be a basis of open sets. We can also define a sheaf  $\mathcal{O}_X$  by declaring  $\mathcal{O}_X(U_f) = R_f = R[1/f]$ . Explicitly, for  $U \subseteq \text{Spec } R$ ,

$$\Gamma(U, \mathcal{O}_X) = \left\{ s : U \rightarrow \bigcup_{\mathfrak{p} \in U} R_{\mathfrak{p}} \mid s(\mathfrak{p}) \in R_{\mathfrak{p}} \text{ and locally } s = \frac{f}{g} \text{ for } f, g \in R \right\}.$$

We get a ringed space  $X = \text{Spec } R = (|\text{Spec } R|, \mathcal{O}_X)$ .

**Definition 1.1.13** (morphism of ringed spaces). Given two ringed space  $X = (|X|, \mathcal{O}_X)$  and  $Y = (|Y|, \mathcal{O}_Y)$ , a **map**  $X \rightarrow Y$  is a continuous function  $\varphi : |X| \rightarrow |Y|$  and a map of sheaves  $\varphi^\# : \mathcal{O}_Y \rightarrow \varphi_* \mathcal{O}_X$ , where  $\varphi_* \mathcal{O}_X$  is a sheaf on  $|Y|$  defined by, for  $U \subseteq |Y|$ ,  $\varphi_* \mathcal{O}_X(U) = \mathcal{O}_X(\varphi^{-1}U)$ . Thus a map is  $(\varphi, \varphi^\#) : X \rightarrow Y$ , which we denote  $\varphi : X \rightarrow Y$  (deal with it).

**Remark 1.1.14.** We get a natural notion of isomorphism for ringed spaces;  $X \cong Y$  if and only if there are maps  $\varphi : X \rightarrow Y$  and  $\psi : Y \rightarrow X$  with  $\varphi \circ \psi$  and  $\psi \circ \varphi$  identities.

**Definition 1.1.15** (affine scheme). A ringed space  $X = (|X|, \mathcal{O}_X)$  is an **affine scheme** if  $X \cong \text{Spec } R$  for some ring  $R$ .

**Definition 1.1.16** (scheme). We say  $X$  is a **scheme** if there is an open cover  $\{U_i\}$  of  $X$  with

$$(U_i, \mathcal{O}_X|_{U_i}) \cong \text{Spec } R_i$$

for commutative rings  $R_i$ .

**Remark 1.1.17.** For  $X = \text{Spec } R$ , we view  $R$  as functions on  $X$ . For example, if  $R = \mathbf{Z}$ , then  $\text{Spec } \mathbf{Z} = \{(0), (p) \mid p \in \mathbf{Z} \text{ is prime}\}$ . So, in this perspective,  $f = 12$  is a function. What is  $f((5))$ ? It is  $f((5)) = 12 \bmod 5 \equiv 2 \bmod 5 \in \mathbf{Z}/5\mathbf{Z}$ .

Also note that not all points of  $\text{Spec } \mathbf{Z}$  are closed. In fact,  $\overline{(0)} = \text{Spec } \mathbf{Z}$ . We call  $(0)$  a generic point.

**Example 1.1.18.** Here is an example of a non-affine scheme. Fix  $S = \mathbf{C}[x_0, \dots, x_n]$ . Note that  $S$  is a graded ring;

$$S = \bigoplus_{d \geq 0} [S]_d$$

where each  $[S]_d$  is the  $\mathbf{C}$ -vector space generated by  $\underline{x}^\lambda = x_0^{\lambda_0} \cdot x_1^{\lambda_1} \cdots x_n^{\lambda_n}$  with  $\lambda_0 + \lambda_1 + \cdots + \lambda_n = d$ .

For instance, if  $n = 2$ , then  $S = \mathbf{C}[x, y]$ , so then

$$\begin{aligned} [S]_0 &\cong \mathbf{C} = \mathbf{C} \cdot 1 \\ [S]_1 &\cong \mathbf{C}^2 = \mathbf{C} \cdot x \oplus \mathbf{C} \cdot y \\ [S]_2 &\cong \mathbf{C}^3 = \mathbf{C} \cdot x^2 \oplus \mathbf{C} \cdot xy \oplus \mathbf{C} \cdot y^2; \end{aligned}$$

that is,  $[S]_d$  are monomials of total degree  $d$ , and  $\dim_{\mathbf{C}}[S]_d = \binom{n+d}{d}$ . We call  $f \in S$  homogeneous if  $f \in [S]_d$  for some  $d$ . A prime  $\mathfrak{p} \subseteq S$  is homogeneous if it can be generated by homogeneous elements.

Now, define  $\text{Proj } S = \{\mathfrak{p} \subseteq S \mid \mathfrak{p} \text{ is a homogeneous prime}\}$ . Any homogeneous  $f \in S$  defines  $V(f) = \{\mathfrak{p} \in \text{Proj } S \mid f \in \mathfrak{p}\}$ , and we let  $U_f = \text{Proj } S \setminus V(f)$  give a basis for the Zariski topology on  $\text{Proj } S$ . We get a sheaf  $\mathcal{O}_X$  with

$$\Gamma(U, \mathcal{O}_X) = \left\{ s : U \rightarrow \bigcup_{\mathfrak{p} \in U} S_{\mathfrak{p}} \mid s(\mathfrak{p}) \in S_{\mathfrak{p}} \text{ and locally } s = \frac{f}{g} \text{ with } \deg f = \deg g \right\}.$$

Note that  $\Gamma(X, \mathcal{O}_X) \cong \mathbf{C} \not\cong S$ ; we have fewer global sections than what is necessary for  $X$  to be affine.

Note also that each  $x_i$  in  $S = \mathbf{C}[x_0, \dots, x_n]$  defines

$$U_i = U_{x_i} = \text{Proj } S \setminus V(x_i) \cong \text{Spec } \mathbf{C} \left[ \frac{x_0}{x_i}, \frac{x_1}{x_i}, \dots, \frac{\widehat{x_i}}{x_i}, \dots, \frac{x_n}{x_i} \right] \cong \mathbf{A}_{\mathbf{C}}^n.$$

The set  $\{U_i\}$  form a cover of  $\text{Proj } S$ . This identifies  $\text{Proj } S$  with  $\mathbf{P}_{\mathbf{C}}^n$ , projective space. We call  $\{U_i\}$  the standard affine charts of projective space.

**Definition 1.1.19** (irrelevant ideal). Let  $S = \mathbf{C}[x_0, \dots, x_n]$ . The ideal  $\mathfrak{m} = (x_0, \dots, x_n)$  is called the **irrelevant ideal** because  $\mathfrak{m} \notin \text{Proj } S$ .

**Example 1.1.20.** Set  $X = \mathbf{P}_{\mathbf{C}}^2 \cong \text{Proj } \mathbf{C}[x, y, z]$ . This has covers

$$\begin{aligned} U_x &= \text{Spec } \mathbf{C} \left[ \frac{y}{x}, \frac{z}{x} \right] \cong \mathbf{A}_{\mathbf{C}}^2, \\ U_y &= \text{Spec } \mathbf{C} \left[ \frac{x}{y}, \frac{z}{y} \right] \cong \mathbf{A}_{\mathbf{C}}^2, \text{ and} \\ U_z &= \text{Spec } \mathbf{C} \left[ \frac{x}{z}, \frac{y}{z} \right] \cong \mathbf{A}_{\mathbf{C}}^2. \end{aligned}$$

In  $U_x$ ,  $(0, 1)$  corresponds to  $(y/x, z/x - 1) \subseteq \mathbf{C}[y/x, z/x - 1]$ , and in projective space, it corresponds to  $[1 : 0 : 1]$  which corresponds to  $(y, z - x)$  a homogeneous ideal in  $\mathbf{C}[x, y, z]$ . Hence, there are closed points whose corresponding homogeneous ideals are not maximal.

## 1.2 Review of Algebraic Geometry: Sheaf Cohomology

**Remark 1.2.1.** Fix a scheme  $X$ . We will assume that  $X$  is noetherian and separated. Recall that  $X$  is separated if the diagonal map  $X \xrightarrow{\Delta} X \times X$  is closed - an analog of Hausdorff. The purpose of requiring schemes to be separated is that it guarantees that if  $U, W \subseteq X$  are affine open subschemes, then  $U \cap W$  is also affine.

**Definition 1.2.2** ( $\mathcal{O}_X$ -module). A sheaf  $\mathcal{F}$  on  $X$  is called an  $\mathcal{O}_X$ -**module** provided for each  $U \subseteq X$  open,  $\mathcal{F}(U)$  is an  $\mathcal{O}_X(U)$ -module.

**Example 1.2.3.**  $\mathcal{O}_X^{\oplus d}$  is a free sheaf of  $\mathcal{O}_X$ -modules.

**Example 1.2.4.** Another example of an  $\mathcal{O}_X$ -module is  $\mathcal{I} \subseteq \mathcal{O}_X$  an ideal sheaf (which, recall, is a sheaf such that  $\mathcal{I}(U) \subseteq \mathcal{O}_X(U)$  is an ideal for all  $U \subseteq X$ ).

**Remark 1.2.5.** If  $X = \text{Spec } R$ , every  $R$ -module  $M$  defines a sheaf of  $\mathcal{O}_X$ -modules,  $\widetilde{M}$ , determined by  $\widetilde{M}(U_f) = M_f$ . Furthermore, note that  $\text{Hom}_{\mathcal{O}_X}(\widetilde{M}, \widetilde{N}) \cong \text{Hom}_R(M, N)$ .

**Remark 1.2.6.** Let  $X$  be a scheme. Set  $\mathbf{Sh}_X$  the category of sheaves on  $X$ . Recall from **Definition 1.1.13** that given a map  $\varphi : X \rightarrow Y$ , there is a functor  $\varphi_* : \mathbf{Sh}_X \rightarrow \mathbf{Sh}_Y$ , the pushforward of sheaves. We have a functor in the other direction as well which is a left adjoint to the pushforward functor.

**Definition 1.2.7** (inverse image functor). Let  $\varphi : X \rightarrow Y$  be a morphism of schemes. There is a functor  $\varphi^{-1} : \mathbf{Sh}_Y \rightarrow \mathbf{Sh}_X$  defined by

$$\varphi^{-1}\mathcal{G}(W) = \varinjlim_{\varphi(W) \subseteq V \text{ open}} \mathcal{G}(V)$$

for  $W \subseteq X$  open,  $V \subseteq Y$  open, and  $\mathcal{G} \in \text{obj}(\mathbf{Sh}_X)$ .

⊃ **Warning! 1.2.8.** If  $\mathcal{G}$  is an  $\mathcal{O}_Y$ -module, it is not clear that  $\varphi^{-1}\mathcal{G}$  is an  $\mathcal{O}_X$ -module.

**Definition 1.2.9** (quasi-coherent). For a scheme  $X = (|X|, \mathcal{O}_X)$ , call an  $\mathcal{O}_X$ -module  $\mathcal{F}$  **quasi-coherent** provided there is an affine open cover  $\{U_i \cong \text{Spec } R_i\}$  such that  $\mathcal{F}|_{U_i} \cong \widetilde{M}_i$ . Write  $\mathbf{qCoh}_X$  for the category of quasi-coherent  $\mathcal{O}_X$ -modules.

**Definition 1.2.10** (pullback functor). Define the **pullback of sheaves**  $\varphi^* : \mathbf{qCoh}_Y \rightarrow \mathbf{qCoh}_X$  to be  $\varphi^*\mathcal{G} = \varphi^{-1}\mathcal{G} \otimes_{\varphi^{-1}\mathcal{O}_Y} \mathcal{O}_X$  for  $\mathcal{G}$  a quasi-coherent sheaf on  $Y$ .

**Remark 1.2.11.** If  $X = \text{Spec } R$  and  $Y = \text{Spec } S$  for rings  $R$  and  $S$ , then a map  $\varphi : X \rightarrow Y$  arises as a map  $\varphi^\# : S \rightarrow R$ . By putting  $\varphi^*$  in module language, we have

$$\begin{array}{ccc} R\text{-mod} & & S\text{-mod} \\ \parallel & & \parallel \\ \mathbf{qCoh}_X & \xrightleftharpoons[\varphi^*]{\varphi_*} & \mathbf{qCoh}_Y \end{array}$$

so that  $\varphi_* : R\text{-mod} \rightarrow S\text{-mod}$  is restriction of scalars and  $\varphi^* : S\text{-mod} \rightarrow R\text{-mod}$  is base change.

**Remark 1.2.12.** In addition to the adjoint pair in **Remark 1.2.6**, we also have another adjunction

$$\text{Hom}_{\mathcal{O}_X}(\varphi^*\mathcal{G}, \mathcal{F}) \cong \text{Hom}_{\mathcal{O}_Y}(\mathcal{G}, \varphi_*\mathcal{F})$$

for  $\varphi : X \rightarrow Y$ ,  $\mathcal{F}$  a sheaf on  $X$ , and  $\mathcal{G}$  a sheaf on  $Y$ .

**Theorem 1.2.13** (Grothendieck). *The category  $\mathbf{qCoh}_X$  is abelian and has enough injectives.*

**Remark 1.2.14.** **Theorem 1.2.13** is exactly what we need to get the standard homological machine off the ground.

**Definition 1.2.15** (sheaf cohomology). Let  $\mathcal{F}$  be a sheaf on  $X$ . Define

$$H^i(X, \mathcal{F}) = \mathbf{R}^i\Gamma(X, \mathcal{F}) = h^i(\Gamma(X, \mathcal{I}^\bullet))$$

for an injective resolution  $0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^\bullet$ .

**Example 1.2.16.** The following is an application of sheaf cohomology. Fix a scheme  $X = (|X|, \mathcal{O}_X)$ . Consider an ideal sheaf  $\mathcal{I} \subseteq \mathcal{O}_X$  with ideal  $I \subseteq \mathcal{O}_X(X)$ . Set  $Z = V(I) \subseteq X$  the subscheme defined by  $I$ . One has a natural short exact sequence

$$0 \rightarrow \mathcal{I} \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_{X/\mathcal{I}} \rightarrow 0.$$

Denote by  $\iota : Z \rightarrow X$  the inclusion. One can identify  $\iota_*\mathcal{O}_Z = \mathcal{O}_X/\mathcal{I}$ . We get the associated long exact sequence

$$\begin{array}{ccccccc} \cdots & \longrightarrow & H^0(X, \mathcal{O}_X) & \longrightarrow & H^0(X, \iota_*\mathcal{O}_Z) & \xrightarrow{\delta} & H^1(X, \mathcal{I}) \longrightarrow \cdots \\ & & \parallel & & \parallel & & \\ & & \Gamma(X, \mathcal{O}_X) & \xrightarrow{\text{res}} & \Gamma(X, \iota_*\mathcal{O}_Z) & & \\ & & & & \parallel & & \\ & & & & \Gamma(Z, \mathcal{O}_Z) & & \end{array}$$

So the restriction map is surjective if and only if  $\text{im } \delta = 0$ . Thus,  $H^1(X, \mathcal{I}) = 0$  implies all global sections of  $Z$  lift to  $X$ .

**Remark 1.2.17.** We're most interested in quasi-coherent sheaves  $\mathcal{F}$  for which  $H^i(X, \mathcal{F}) = 0$  for  $i > 0$ .

**Remark 1.2.18.** Let's consider  $X = \text{Proj } S \cong \mathbf{P}_{\mathbf{C}}^d$  for  $S = \mathbf{C}[x_0, \dots, x_d]$ . Recall that for  $U \subseteq X$ ,

$$\Gamma(U, \mathcal{O}_X) = \left\{ s \mid \text{locally } s = \frac{f}{g} \in \text{Frac } S \text{ with } g(p) \neq 0 \text{ for } p \in U \text{ and } \deg f = \deg g \right\}.$$

Under the identification of  $X$  with  $\mathbf{P}_{\mathbf{C}}^d$ ,  $f/g$  is a well-defined function if and only if  $\deg f = \deg g$ . For each  $n \in \mathbf{Z}$ , we get a different sheaf  $\mathcal{O}_X(n)$  defined by

$$\Gamma(U, \mathcal{O}_X(n)) = \left\{ s \mid \text{locally } s = \frac{f}{g} \text{ with } g(p) \neq 0 \text{ for } p \in U \text{ and } \deg f = \deg g + n \right\}.$$

These are called the Serre twists of  $\mathcal{O}_X$ .

**Example 1.2.19.**  $\Gamma(X, \mathcal{O}_X(1))$  has a  $\mathbf{C}$ -basis of  $\{x_0, x_1, \dots, x_d\}$ .

**Example 1.2.20.**  $\Gamma(X, \mathcal{O}_X(2))$  has a  $\mathbf{C}$ -basis of  $\{x_i x_j \mid i, j \in \{0, \dots, d\}\}$ .

**Example 1.2.21.** In general, one can see that  $\dim_{\mathbf{C}} \Gamma(X, \mathcal{O}_X(n)) = \binom{n+d}{d}$  for  $n \geq 0$ .

**Example 1.2.22.** If  $n < 0$ ,  $\Gamma(X, \mathcal{O}_X(n)) = \emptyset$ , but  $1/x_i \in \Gamma(U_{x_i}, \mathcal{O}_X(-1))$ .

**Theorem 1.2.23** (Serre).

$$H^q \left( \mathbf{P}_{\mathbf{C}}^d, \mathcal{O}_{\mathbf{P}_{\mathbf{C}}^d}(n) \right) = \begin{cases} [\mathbf{C}[x_0, \dots, x_d]]_n & \text{if } q = 0; \\ \left[ \frac{1}{x_0 \cdots x_d} \mathbf{C} \left[ \frac{1}{x_0}, \dots, \frac{1}{x_d} \right] \right]_n & \text{if } q = d; \\ 0 & \text{otherwise.} \end{cases}$$

### 1.3 Review of Algebraic Geometry: Divisors and Line Bundles

**Remark 1.3.1.** For us, the sheaves  $\mathcal{O}_X(n)$  are in a nice class called line bundles. We'll always work in the normal setting; i.e., any scheme  $X$  is assumed to be noetherian, separated, irreducible, and normal. Recall that normal schemes satisfy two properties:

1.  $X$  is  $(R_1)$ ; i.e., regular in codimension 1. In other words,  $\text{codim } \text{Sing } X \geq 2$ . For instance, if  $X$  is one-dimensional, then it must be smooth; if  $X$  is two-dimensional, then it must have isolated singularities; if  $X$  is three-dimensional, then it must have no more than a curve of singularities; etc.
2.  $X$  is  $(S_2)$ ; i.e., all local rings of  $X$  that have codimension at least 2 have depth at least 2.

**Definition 1.3.2** (dual module). Let  $R$  be a normal domain and  $M$  an  $R$ -module. Define  $M^\vee = \text{Hom}_R(M, R)$ , the **dual** of  $M$ .

**Definition 1.3.3** (reflexive module). There is a natural map  $M \rightarrow M^{\vee\vee}$ . Call  $M$  **reflexive** if  $M \xrightarrow{\sim} M^{\vee\vee}$ .

**Example 1.3.4.** If  $M$  is free,  $M^\vee$  is free, and  $M$  is reflexive.

**Example 1.3.5.** For any  $M$ ,  $M^\vee$  is torsion free. Indeed, if  $r\varphi = 0$  for  $r \neq 0$  and  $\varphi \in M^\vee$ , then  $r\varphi(m) = 0$  for all  $m \in M$ . As  $R$  is a domain and  $r \neq 0$ ,  $\varphi(m) = 0$  for all  $m \in M$ ; i.e.,  $\varphi = 0$ .

Consequently, if  $M$  is torsion, then  $M^\vee = 0$ .

**Remark 1.3.6.** For any  $M$ ,  $M^{\vee\vee}$  is reflexive.

**Definition 1.3.7** (sheafy Hom). For two sheaves  $\mathcal{F}$  and  $\mathcal{G}$ , define the **sheafy Hom**  $\text{Hom}(\mathcal{F}, \mathcal{G})$  to be the sheaf defined by

$$U \mapsto \text{Hom}(\mathcal{F}|_U, \mathcal{G}|_U).$$

**Definition 1.3.8** (dual sheaf). We can define  $\mathcal{F}^\vee = \mathcal{H}om(\mathcal{F}, \mathcal{O}_X)$ .

**Definition 1.3.9** (reflexive sheaf). Call  $\mathcal{F}$  **reflexive** if the natural map  $\mathcal{F} \rightarrow \mathcal{F}^{\vee\vee}$  is an isomorphism.

**Remark 1.3.10.** Recall that  $\mathcal{F} \cong \widetilde{M}$  locally means that  $\mathcal{F}$  is quasi-coherent (**Definition 1.2.9**).  $\mathcal{F}$  is coherent if each  $M$  is finitely generated.

**Theorem 1.3.11** (Hartogs' Principle). *Let  $X$  be a normal, irreducible, noetherian scheme and  $\mathcal{F}$  a coherent, reflexive  $\mathcal{O}_X$ -module. For any closed subscheme  $Z \subseteq X$ , set  $U = X \setminus Z$ . Denote  $\iota : U \hookrightarrow X$ . If the codimension of  $Z$  is at least 2, then  $\mathcal{F} \rightarrow \iota_* \mathcal{F}|_U$  is an isomorphism.*

**Remark 1.3.12.** The slogan for **Theorem 1.3.11** [**Hartogs' Principle**] is that reflexive sheaves are determined on codimension 2 sets. For instance, if  $X$  is a surface,  $Z$  is a collection of discrete points, and  $U = X \setminus Z$ , then any reflexive  $\mathcal{F}$  is determined by  $\mathcal{F}|_U$ .

**Remark 1.3.13.** This foreshadows the following result: if  $X$  is normal, then there is an open set  $X_{sm} \subseteq X$  with  $X_{sm}$  smooth/regular and of codimension at least 2. That is, any reflexive sheaf on  $X$  is determined by  $X_{sm}$ .

**Remark 1.3.14.** Now, to motivate divisors, first consider a curve,  $X$ . Given a rational function  $s = f/g$  with  $f$  and  $g$  having no common factors, we can define

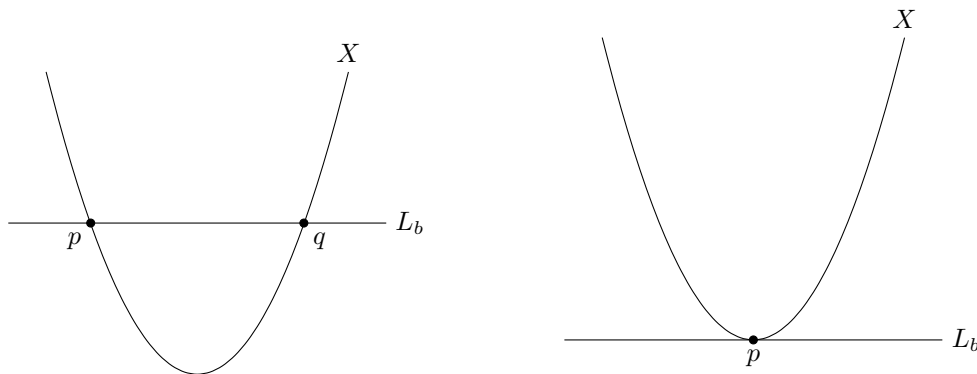
$$\text{Zeros}(s) = \{p \in X \mid f(p) = 0\}$$

and

$$\text{Poles}(s) = \{p \in X \mid g(p) = 0\}.$$

We know that we should count multiplicities as well.

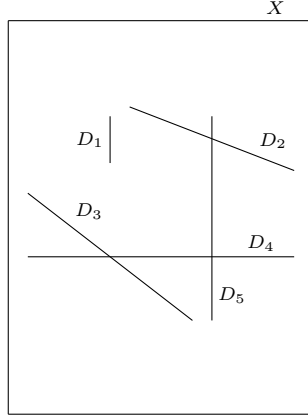
**Example 1.3.15.** Let  $X = V(y - x^2) \subseteq \mathbf{C}^2$ . For each  $b \in \mathbf{C}$ , we get  $L_b = V(y - b)$  and an intersection  $X \cap L_b$ .



We can build a rational function  $s$  with  $\text{Zeros}(s) = \{p, q\}$  or  $\text{Zeros}(s)$  “equal to the set”  $\{p, p\}$ .

**Definition 1.3.16** (Weil divisor). Fix a scheme  $X$ . A **Weil divisor** is a finite formal sum  $\sum a_i D_i$  where  $a_i \in \mathbf{Z}$  and  $D_i$  is an irreducible reduced subscheme of codimension 1.

**Example 1.3.17.** The following is the cartoon to have in mind:



A Weil divisor could be something like  $2D_1 - 3D_2 + D_3 - D_4 + 6D_5$ .

**Definition 1.3.18** (prime divisor). A single irreducible reduced subscheme of codimension 1,  $D$ , is called a **prime divisor**.

**Example 1.3.19.** Recalling **Example 1.3.15**, for  $X = V(y - x^2)$  and  $L_b = V(y - b)$  in  $\mathbf{C}^2$ ,  $X \cap L_b$  has associated divisors  $p + q$  or  $2p$ . Hence, we have the aforementioned way to track zeros of a rational function  $s$  with multiplicity.

**Definition 1.3.20** (effective divisor). A divisor  $D = \sum a_i D_i$  is said to be **effective** provided  $a_i \geq 0$ . We write  $D \geq 0$  without concern.

**Remark 1.3.21.** We'll suppress the details, but for  $X = \text{Spec } R$ , each prime divisor  $D$  is defined by a height 1 prime  $\mathfrak{q}_D$ . If we denote  $\eta_D$  the generic point of  $D$ , then we can view  $\mathfrak{q}_D$  as the maximal ideal of the local ring  $\mathcal{O}_{X, \eta_D}$ . If  $R$  is normal, then  $\mathcal{O}_{X, \eta_D}$  is a discrete valuation ring. There is a natural valuation  $\nu_D$  on  $R$  that measures the zeros and poles of rational functions along  $D$ . So for each  $s = f/g \in \text{Frac } R$ , we can write

$$\text{div}(s) = \sum_{D \text{ prime}} \nu_D(s) \cdot D.$$

**Example 1.3.22.** Let  $X = \text{Spec } \mathbf{C}[x] \cong \mathbf{A}_{\mathbf{C}}^1$ . Let

$$s = \frac{x(x-i)^2(x+1)^3}{x(x-i)(x+2i)^2}.$$

Thus  $\text{div}(s) = V(x-i) + 3V(x+1) - 2V(x+2i)$ . To see this, note that, for example, in  $\mathbf{C}[x]_{(x+1)}$ , one has  $s \in (x+1)^3 \setminus (x+1)^2$ .

**Remark 1.3.23.** This perspective globalizes; for a scheme  $X$ , set  $K(X)$  to be its rational function field (i.e.,  $K(X) = \mathcal{O}_{X, \eta_X}$ ). Any  $s \in K(X)^\times$  defines a divisor  $\text{div}(s)$ , and as  $X$  is noetherian,  $\nu_D(s) \neq 0$  for only finitely many prime divisors.

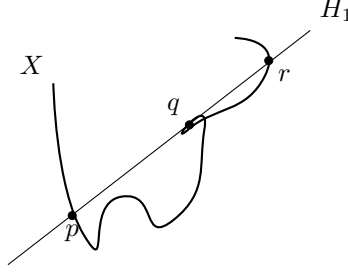
**Definition 1.3.24** (principal divisor). We call such divisors of the form  $\text{div}(s)$  for some rational function  $s$  **principal**.

**Example 1.3.25.** Let  $X = \mathbf{P}_{\mathbf{C}}^n$  and we work analytically. Here,  $K(X)$  is the field of meromorphic functions. Any  $\text{div}(s)$  is of the form  $\sum a_i D_i + \sum b_j D_j$  for  $a_i \geq 0$  and  $b_j < 0$ . That is,  $\sum a_i D_i$  is  $\text{Zeros}(s)$  and  $\sum b_j D_j$  is  $\text{Poles}(s)$ .

Note that for projective space, we see that  $\sum a_i + \sum b_j = 0$ . This holds algebraically as well; hence, there are lots of divisors which are not principal.

**Example 1.3.26.** Fix  $X \subsetneq \mathbf{P}_{\mathbf{C}}^n$  and assume that  $X$  is large; i.e.,  $X$  is not contained in a hyperplane. Each hyperplane  $H$  defines a divisor  $D_H(X)$  by considering  $X \cap H$ . Below is a sample cartoon:





Here,  $D_{H_1}(X) = p+2q+r$ , and for another hyperplane  $H_2$ ,  $D_{H_2}(X)$  would be another divisor with coefficients also adding to 4, by Bézout's theorem. If we write two hyperplanes as  $H_1 = V(f)$  and  $H_2 = V(g)$ , then  $D_{H_1}(X) - D_{H_2}(X) = \text{div}(f/g)$ ; that is, their difference is principal. Furthermore, note that for  $s, s' \in K(X)$ ,  $\text{div}(ss') = \text{div}(s) + \text{div}(s')$ . This motivates the following.

**Definition 1.3.27** (divisor class group). For  $X$  noetherian, normal, and irreducible, denote by  $\text{Div}(X)$  the group of Weil divisors. The principal divisors,  $\text{Prin } X$ , are a subgroup. Thus, define  $\text{Cl}(X) = \text{Div}(X)/\text{Prin}(X)$ , the **divisor class group**. That is, we say  $D \equiv D'$  for Weil divisors  $D$  and  $D'$  if and only if  $D = D' + \text{div}(s)$  for some  $s$ , and we say  $D$  is linearly equivalent to  $D'$ .

**Remark 1.3.28.** There is always a group homomorphism  $\text{Cl}(X) \rightarrow \mathbf{Z}$ , the degree map, sending a class

$$\left[ \sum n_i D_i \right] \mapsto \sum n_i.$$

This is well-defined, since  $\text{deg}(\text{div}(s)) = 0$ , by **Example 1.3.25**.

**Example 1.3.29.**  $\text{Cl}(\mathbf{P}_\mathbf{C}^n) \cong \mathbf{Z}$ .

**Theorem 1.3.30** (Hartshorne). A noetherian normal domain  $R$  is a UFD if and only if  $\text{Cl}(\text{Spec } R) = 0$ .

**Remark 1.3.31.** Divisors are also linked to the concept of fractional ideals.

**Definition 1.3.32** (fractional ideal). For a domain  $R$ , a **fractional ideal** is a finitely generated submodule of  $\text{Frac } R$ .

**Example 1.3.33.**  $(1/2)\mathbf{Z} \subseteq \mathbf{Q}$  is a finitely generated submodule of  $\mathbf{Q} = \text{Frac } \mathbf{Z}$ .

**Remark 1.3.34.** Each Weil divisor  $D$  defines a sheaf in the following way. Let  $D$  be a Weil divisor; we get a sheaf  $\mathcal{O}_X(D)$  where  $\Gamma(U, \mathcal{O}_X(D)) = \{s \in K(X) \mid \text{div } s|_U + D|_U \geq 0\}$ .

**Example 1.3.35.** Let  $X$  be a curve. Let  $D = 2p+q-3r$  for points  $p, q, r \in X$ . If  $s = f/g \in K(X)$ , then we have  $\text{div } s = \sum a_i D_i$ . If  $s \in \Gamma(X, \mathcal{O}_X(D))$ , then we need  $\text{div}(s) + D$  to be effective; i.e.,  $\text{div } s + D \geq 0$  on  $X$ . This puts constraints on  $a_p, a_q$ , and  $a_r$ , while all other  $a_i$  just need to be nonnegative. See that  $a_p + 2 \geq 0$ ,  $a_q + 1 \geq 0$ , and  $a_r - 3 \geq 0$ . Thus,  $a_p \geq -2$ ,  $a_q \geq -1$ , and  $a_r \geq 3$ . Thus,  $s$  is allowed poles at  $p$  and  $q$  of order at most 2 and 1, but must have a zero of order at least 3 at  $r$ .

**Remark 1.3.36.** If  $D$  is effective, then sections of  $\mathcal{O}_X(D)$  are allowed poles, and  $\mathcal{O}_X(-D)$  must have zeros along  $D$ . One can identify  $\mathcal{O}_X(-D)$  with an ideal sheaf  $\mathcal{O}_X(-D) = \mathfrak{a}_D \subseteq \mathcal{O}_X$  defining  $D$ ; we call it antieffective.

**Example 1.3.37.** If  $D = D_i$  is prime in  $X = \text{Spec } R$ , then  $\mathfrak{a}_D \subseteq R$  and  $V(\mathfrak{a}_D) = D$ . For instance, let  $R = \mathbf{C}[x, y]$  and  $D = V(x - y)$ . Then  $\mathfrak{a}_D = (x - y)$ , and we write  $\mathfrak{a}_D = R(-D)$ .

**Remark 1.3.38.** We'll see next that  $\mathcal{O}_X(D)$  is a fractional ideal. To see this, we'll use duals. Fix  $X = \text{Spec } R$  and  $M \subseteq \text{Frac } R$  a submodule.

**Definition 1.3.39** (colon). Define  $R :_{\text{Frac } R} M = \{f/g \in \text{Frac } R \mid (f/g)M \subseteq R\}$ .

**Lemma 1.3.40.** If  $M$  is torsion free and rank 1, then  $M^\vee = \text{Hom}_R(M, R) \cong R :_{\text{Frac } R} M$ .

*Proof.* As  $\text{rank } M = 1$ ,  $\text{Frac } R \otimes M \cong \text{Frac } R$ . As  $M$  is torsion free, the map  $M \rightarrow M \otimes \text{Frac } R$  is injective. To identify  $\text{Hom}_R(M, R)$  with  $R :_{\text{Frac } R} M$ , note that any  $s \in R :_{\text{Frac } R} M$  defines a multiplication map  $M \rightarrow R$  via  $m \mapsto sm$ ; i.e.,  $s$  yields an element of  $\text{Hom}_R(M, R)$ .

Conversely, view

$$\begin{aligned} \text{Hom}_R(M, R) &\cong \text{Hom}_R(M, R) \otimes R \\ &\subseteq \text{Hom}_R(M, R) \otimes \text{Frac } R \\ &\cong \text{Hom}_{\text{Frac } R}(M \otimes \text{Frac } R, R \otimes \text{Frac } R) \\ &\cong \text{Hom}_{\text{Frac } R}(\text{Frac } R, \text{Frac } R) \\ &\cong \text{Frac } R, \end{aligned}$$

with the final isomorphism given via multiplication. So any element of  $\text{Hom}_R(M, R)$  is given by  $s \in \text{Frac } R$ , and by definition such  $s \in R :_{\text{Frac } R} M$ .  $\square$

**Remark 1.3.41.** So if  $X = \text{Spec } R$  and we define  $R(D) = \Gamma(X, \mathcal{O}_X(D))$  for a Weil divisor  $D$ , then, recalling that each prime divisor has an associated height 1 prime ideal by **Remark 1.3.21**, we see that if  $D$  is prime, then  $R(D) \cong D^\vee \cong R :_{\text{Frac } R} D$ . Essentially,  $\text{div } s + D \geq 0$  if and only if  $sD \subseteq R$ .

**Example 1.3.42.** Let  $R = \mathbf{Z}$ , so  $\text{Frac } R = \mathbf{Q}$ , and let  $D = p\mathbf{Z}$ . As a result, we have that

$$D^\vee = \text{Hom}(p\mathbf{Z}, \mathbf{Z}) \cong \mathbf{Z} :_{\mathbf{Q}} p\mathbf{Z} \cong \frac{1}{p}\mathbf{Z},$$

a fractional ideal.

**Lemma 1.3.43.** Let  $X = \text{Spec } R$ , let  $D$  be a Weil divisor, and write  $R(D) = \Gamma(X, \mathcal{O}_X(D))$ . The sheaf  $R(D)$  is reflexive, and  $\mathcal{O}_X(D)$  is a reflexive  $\mathcal{O}_X$ -module.

**Remark 1.3.44.** We also state the following facts:

- If  $D$  is a prime Weil divisor on  $X = \text{Spec } R$ , then  $R(D) \cong D^\vee \cong R :_{\text{Frac } R} D$ . Here, we conflate  $D$  with its associated height 1 prime ideal.
- $R(-D) \cong D$ .
- One can check that for divisors  $A$  and  $B$ ,  $R(A + B) \cong (R(A) \cdot R(B))^{\vee\vee}$ . Recall that  $M^{\vee\vee}$  is the reflexive closure of  $M$ . In particular, if  $D = \sum a_i D_i \geq 0$  is effective, then  $R(-D) = (\prod D_i^{a_i})^{\vee\vee}$  and  $R(D) = R(-D)^\vee$  is a fractional ideal.
- $D = \text{div } s$  is principal if and only if  $R(D) \cong (1/s)R$  is free.

**Remark 1.3.45.** One can define  $\text{Cl}(R)$  as the multiplicative group of all height 1 prime fractional ideals modulo principal fractional ideals. From here, it's clear that  $R$  is a UFD if and only if  $\text{Cl}(R) = 0$ .

**Example 1.3.46.** Let  $R = k[x^2, xy, y^2] \cong k[a, b, c]/(ac - b^2)$  for  $k$  a field. We have a divisor in the scheme  $X = \text{Spec } R$  given by  $D = (x^2, xy)$  which is not locally principal, but notice that  $R(-2D) = (D^2)^{\vee\vee}$  and  $D^2 = (x^4, x^2y^2, x^3y) = (x^2 \cdot x^2, x^2 \cdot y^2, x^2 \cdot xy) = x^2R$ , and  $R(2D) = (1/x^2)R \cong (x^2R)^\vee$ .

**Theorem 1.3.47.** Two divisors  $D_1$  and  $D_2$  are linearly equivalent if and only if  $R(D_1) \cong R(D_2)$ . All the more, this holds for all normal, noetherian, irreducible, separated schemes.

*Proof sketch.* We prove one direction. If  $D_1 = D_2 + \text{div } s$  for some  $s$  - that is,  $D_1$  and  $D_2$  are linearly equivalent - then we claim that  $R(D_1)s \cong R(D_2)$ . Given  $f \in R(D_1)$ , we have  $\text{div } f + D_1 \geq 0$ , so  $\text{div}(fs) + D_1 = \text{div } f + \text{div } s + D_1 \geq \text{div } s$ . Thus,  $\text{div}(fs) + D_1 - \text{div } s = \text{div}(fs) + D_2 \geq 0$ , so  $fs \in R(D_2)$ . On the other hand, let  $g \in R(D_2)$ ; then  $\text{div } g + D_2 \geq 0$ , so  $\text{div}(g/s) + D_1 = \text{div } g + D_1 - \text{div } s = \text{div } g + D_2 \geq 0$ , so  $g/s \in R(D_1)$ , and therefore  $g \in R(D_1)s$ . Hence the double inclusion is shown.

We omit the other direction.  $\square$

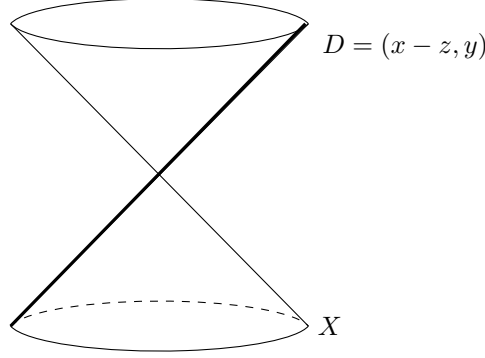
**Remark 1.3.48.** The main takeaway is that divisors are a linear way to understand the geometry of  $X$ .

**Definition 1.3.49** (Cartier divisor). A Weil divisor  $D$  on a scheme  $X$  is called **Cartier** provided that there is an open cover  $\{U_i\}$  of  $X$  such that  $D|_{U_i} = \text{div } f_i$  is principal.

**Example 1.3.50.** For the most basic example, any principal divisor is Cartier.

**Example 1.3.51.** For a nonexample, consider the scheme  $X = \text{Spec } k[x^2, xy, y^2]$  with divisor  $D = (x^2, xy)$  from **Example 1.3.46**.  $D$  is not locally principal, so it is not Cartier.

**Example 1.3.52.** For another divisor that is not Cartier, let  $X = V(x^2 + y^2 - z^2) \subseteq \mathbf{A}^3$  be the cone. Form the divisor  $D$  by intersection with  $V(x - z)$ .  $D$  is not Cartier as it is not principal near the cone point. A function at the cone point needs to vanish in  $y$  to order 2.



**Lemma 1.3.53.** Let  $X = \text{Spec } R$ .  $D$  is Cartier if and only if  $R(D)$  is projective.

*Proof.* Locally,  $D$  is principal if and only if  $R(D)$  is free of rank 1. □

**Definition 1.3.54** (trivialization). We call the data  $\{U_i, f_i\}$  of a Cartier divisor  $D$  a **trivialization** of  $D$ .

**Remark 1.3.55.** Note that given a trivialization  $\{U_i, f_i\}$ , on  $U_i \cap U_j$ , we have  $\text{div } f_i|_{U_i \cap U_j} = \text{div } f_j|_{U_i \cap U_j}$ ; i.e.,  $f_i/f_j$  is regular.

**Remark 1.3.56.** For a Weil divisor  $D$ , we claim that we have the following bijection:

$$\begin{aligned} \{s \mid s \in H^0(X, \mathcal{O}_X(D))\} &\leftrightarrow \{D' \mid D' \geq 0, D' \equiv D\} \\ s &\mapsto D + \text{div } s. \end{aligned}$$

To see this claim, set  $K_X$  the sheaf of locally constant functions with values in  $K(X)$ . Set  $K_X^*$  the sheaf of nonzero section in  $K_X$ . View  $\mathcal{O}_X^*$  as the sheaf of locally constant sections of  $K_X$  without poles. Thus  $\mathcal{O}_X^* \subseteq K_X^*$ . Hence, we have a short exact sequence

$$0 \rightarrow \mathcal{O}_X^* \rightarrow K_X^* \rightarrow K_X^*/\mathcal{O}_X^* \rightarrow 0.$$

We now claim that a global section of  $H^0(X, K_X^*/\mathcal{O}_X^*)$  defines a Cartier divisor. Indeed, as  $K_X^*/\mathcal{O}_X^*$  is only defined via sheafification, a global section arises from an open cover  $\{U_i\}$  and  $f_i \in K_X^*(U_i)$  such that gluing occurs; i.e.,  $f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$  in  $K_X^*(U_i)/\mathcal{O}_X^*(U_i)$ . That is,  $f_i/f_j$  is regular on  $U_i \cap U_j$ . Therefore,  $\{U_i, f_i\}$  is indeed a trivialization of a Cartier divisor.

Now, this divisor is principal if and only if the associated global section is in

$$\text{im} \left( H^0(X, K_X^*) \rightarrow H^0 \left( X, K_X^*/\mathcal{O}_X^* \right) \right).$$

As  $K_X^*$  is flasque,  $H^1(X, K_X^*) = 0$ ; i.e.,  $H^0(X, K_X^*) \rightarrow H^0(X, K_X^*/\mathcal{O}_X^*) \rightarrow H^1(X, \mathcal{O}_X^*) \rightarrow 0$  is exact. That is, we have an identification

$$H^1(X, \mathcal{O}_X^*) \leftrightarrow \{D \mid D \text{ is a Cartier divisor}\} / \sim,$$

where  $\sim$  denotes linear equivalence.

**Definition 1.3.57** (Picard group). The group  $H^1(X, \mathcal{O}_X^*) \cong \{D \mid D \text{ is Cartier}\} / \sim$  is called the **Picard group** of  $X$ . Write  $\text{Pic}(X)$ .

**Theorem 1.3.58.** *If  $X$  is smooth, then all Weil divisors are Cartier.*

*Proof.* Without loss of generality, it suffices to consider prime divisors  $D$ . Set  $\mathfrak{a}_D$  to be the ideal sheaf defining  $D$ . Recall that  $D$  is principal if and only if  $\mathfrak{a}_D$  is principal. At each point  $x \in X$ , pass to the local ring  $\mathcal{O}_{X,x}$ . Set  $\mathfrak{a}_x = \mathfrak{a}_D \mathcal{O}_{X,x}$ . As  $X$  is smooth,  $\mathcal{O}_{X,x}$  is regular and  $\mathfrak{a}_x$  has height 1. By Krull Hauptidealsatz,  $\mathfrak{a}_x$  is principal. Now, the sheaf  $\mathfrak{a}_D$  has stalk  $\mathfrak{a}_x$  which is principal; recall that

$$\mathfrak{a}_x = \varinjlim_{x \in \tilde{U}} \mathfrak{a}_D(U).$$

As  $X$  is noetherian,  $\mathfrak{a}_x$  is finitely generated, so we can pick  $U_x$  sufficiently small so that  $\mathfrak{a}_x \cong \Gamma(U_x, \mathfrak{a}_D)$ ; but then  $D|_{U_x}$  is principal, so  $D|_{U_x} = \text{div } f_x$ . Now cover  $X$  by  $\{U_i, f_i\}$  which is a trivialization.  $\square$

**Remark 1.3.59.** In **Theorem 1.3.58**, the hypothesis that  $X$  is smooth is not needed in certain circumstances. If  $\mathcal{O}_{X,x}$  is a UFD, then all height 1 ideals are principal, and the proof holds.

**Definition 1.3.60** (factorial). We call  $X$  **factorial** if  $\mathcal{O}_{X,x}$  is a UFD for all  $x \in X$ .

**Corollary 1.3.61.** *If  $X$  is factorial, then all Weil divisors are Cartier.*

**Remark 1.3.62.** We now turn to the second topic of the section, line bundles, and see how Cartier divisors are related to line bundles.

**Definition 1.3.63** (locally free sheaf). A sheaf  $\mathcal{F}$  of  $\mathcal{O}_X$ -modules is **locally free** if there is an open cover  $\{U_i\}$  such that  $\mathcal{F}|_{U_i}$  is free; i.e.,  $\mathcal{F}|_{U_i} \cong \mathcal{O}_X^{\oplus d_i}$ .

**Definition 1.3.64** (rank of a locally free sheaf). If  $\mathcal{F}$  is a locally free sheaf,  $\mathcal{F}|_{U_i} \cong \mathcal{O}_X^{\oplus d}$ , and  $d$  is independent, then we say  $\mathcal{F}$  is **locally free of rank  $d$** .

**Definition 1.3.65** (vector bundle). If  $\mathcal{F}$  is a locally free sheaf of rank  $d$ , then we say  $\mathcal{F}$  is a **vector bundle**.

**Definition 1.3.66** (line bundle). If  $\mathcal{F}$  is a vector bundle and the rank of  $\mathcal{F}$  is 1, then  $\mathcal{F}$  is called a **line bundle**.

**Remark 1.3.67.** In topology, a vector bundle is a space  $E$  with a surjective map  $\pi : E \rightarrow X$  such that each fiber  $\pi^{-1}(x)$  is a vector space for all  $x \in X$ . A section is a map  $s : U \rightarrow E$ , where  $U \subseteq X$  is open and  $\pi(s(x)) = x$  for all  $x \in U$ . To each topological vector bundle, we can associate its sheaf of sections  $\mathcal{E}(U) = \{s : U \rightarrow X \mid s \text{ is a section}\}$ . Observe that if  $X$  is a scheme, then  $\mathcal{E}(U)$  is locally free of rank  $\dim \pi^{-1}(x)$  for all  $x \in X$ . Hence be warned when definitions diverge.

**Example 1.3.68.** On any  $X$ ,  $\mathcal{O}_X$  is a line bundle.

**Example 1.3.69.** If  $X = \mathbf{P}_{\mathbb{C}}^d$ , then each Serre twist  $\mathcal{O}_X(n)$  is a line bundle.

**Remark 1.3.70.** We will see how, essentially, line bundles are the same as Cartier divisors. Each Cartier divisor  $D$  has a trivialization  $\{U_i, f_i\}$  and a sheaf  $\mathcal{O}_X(D)$  with

$$\Gamma(U, \mathcal{O}_X(D)) = \{s \in K(X) \mid \text{div } s|_U + D|_U \geq 0\},$$

and  $\mathcal{O}_X(D)|_{U_i} \cong \mathcal{O}_X(\text{div } f_i)|_{U_i} \cong \mathcal{O}_X|_{U_i}$ , since  $\text{div } f_i$  is principal of rank 1. And so,  $\mathcal{O}_X(D)$  is a line bundle.

However, if  $D \equiv D'$ , then  $\mathcal{O}_X(D) \cong \mathcal{O}_X(D')$ . So  $\mathcal{O}_X(D)$ , a line bundle, does not determine  $D$ , only its class in  $\text{Pic } X$ . Therefore, we'd like a way, given a line bundle  $\mathcal{L}$ , to produce  $D$  such that  $\mathcal{L} \cong \mathcal{O}_X(D)$ . As  $\mathcal{L}$  is locally free and rank 1, pick an open cover  $\{U_i\}$  and generators  $\mathcal{L}|_{U_i} \cong g_i \mathcal{O}_{U_i}$ .

Note that  $D$  given by  $\{U_i, g_i\}$  is a Cartier divisor with  $\mathcal{O}_X(D) \cong \mathcal{L}$ , but the choice of  $g_i$ s is arbitrary.

Now, any section  $s \in \Gamma(X, \mathcal{L})$  defines  $s|_{U_i} = f_i g_i$  in  $\Gamma(U_i, \mathcal{O}_{U_i})$ , and  $\{U_i, f_i\}$  also defines a Cartier divisor  $D$  with  $\mathcal{O}_X(D) \cong \mathcal{L}$ . Note that  $f_i g_i \in \mathcal{O}_{U_i}$ ; i.e.,  $\text{div } f_i g_i \geq 0$ , so each  $D$  is effective. Thus, there is a bijection

$$\begin{aligned} \Gamma(X, \mathcal{L}) &\leftrightarrow \{D \mid D \text{ is a Cartier divisor, } D \geq 0, \mathcal{O}_X(D) \cong \mathcal{L}\} \\ s &\mapsto \{U_i, f_i\}. \end{aligned}$$

As Cartier divisors form the group  $\text{Pic } X$ , we expect a group operation on line bundles.

**Lemma 1.3.71.** *Let  $\mathcal{E}$  be a vector bundle, and let  $\mathcal{F}$  be an  $\mathcal{O}_X$ -module. Set  $\mathcal{E}^\vee = \mathcal{H}om(\mathcal{E}, \mathcal{O}_X)$ . One has  $\mathcal{H}om(\mathcal{E}, \mathcal{F}) \cong \mathcal{E}^\vee \otimes_{\mathcal{O}_X} \mathcal{F}$ .*

*If  $\mathcal{L}$  is a line bundle, one has  $\mathcal{L} \otimes \mathcal{L}^\vee \cong \mathcal{H}om(\mathcal{L}, \mathcal{L}) \cong \mathcal{O}_X$ .*

*Proof.* It suffices to work on stalks, or equivalently, on small open sets  $U$ . We can further restrict  $U$  so that  $\mathcal{E}(U)$  is free. Here, it suffices to prove that  $\mathcal{H}om(\mathcal{E}(U), \mathcal{F}(U)) \cong \mathcal{H}om(\mathcal{E}(U), \mathcal{O}_X(U)) \otimes \mathcal{F}(U)$ . Set  $R = \mathcal{O}_X(U)$  ( $R$  for ring),  $F = \mathcal{E}(U)$  ( $F$  for free), and  $M = \mathcal{F}(U)$  ( $M$  for module). We thus see that

$$\begin{array}{ccc} \mathcal{H}om(F, R) \otimes M & \longrightarrow & \mathcal{H}om(F, M) \\ \varphi \otimes m & \longmapsto & \psi : F \longrightarrow M \\ & & x \longmapsto \varphi(x) \cdot m \end{array}$$

is an isomorphism, because  $F$  is free. □

**Remark 1.3.72.** By **Lemma 1.3.71**, the set of line bundles  $\mathcal{L}$  forms a group under  $\otimes$  with inverses  $(-)^\vee = \mathcal{H}om(-, \mathcal{O}_X)$ . This group is isomorphic to  $\text{Pic } X$ , since

1. if  $\mathcal{L} \cong \mathcal{O}_X(D)$  and  $\mathcal{L}' \cong \mathcal{O}_X(D')$ , then  $\mathcal{L} \otimes \mathcal{L}' \cong \mathcal{O}_X(D + D')$ , and
2. if  $\mathcal{L} \cong \mathcal{O}_X(D)$ , then  $\mathcal{L}^\vee \cong \mathcal{O}_X(-D)$ .

Therefore, the moral is that the group operation on line bundles is thought of multiplicatively, while on divisors is thought of additively.

**Example 1.3.73.** Let  $X = \mathbf{P}_{\mathbf{C}}^d$ . On  $X$ , all Weil divisors are Cartier, and the degree map, which is  $\text{Cl}(X) = \text{Pic}(X) \rightarrow \mathbf{Z}$  defined by  $\sum n_i D_i \mapsto \sum n_i$  has an inverse map  $m \mapsto \mathcal{O}_X(m) \cong \mathcal{O}_X(mH)$  for any hyperplane  $H$  in  $X$ . By picking coordinates, we can assume  $H = V(x_0)$ . It is a direct calculation that  $\mathcal{O}_X(H) \cong \mathcal{O}_X(1)$ .

**Remark 1.3.74.** The motivation is that line bundles provide nice embeddings. If we fix a line bundle  $\mathcal{L}$  on  $X$  and suppose  $s_0, \dots, s_d \in \Gamma(X, \mathcal{L})$  are sections such that at each point  $x \in X$ ,  $s_i(x) \neq 0$  for some  $i$  (given such a condition, we say  $\mathcal{L}$  is globally generated, or that  $\mathcal{L}$  is basepoint free), then we get a morphism

$$\begin{array}{l} \Phi : X \rightarrow \mathbf{P}_{\mathbf{C}}^d \\ x \mapsto [s_0(x) : s_1(x) : \dots : s_d(x)]. \end{array}$$

If furthermore, the sections  $s_i$  separate tangents (we say  $\mathcal{L}$  is very ample), then  $\Phi$  is a closed immersion which identifies  $X$  as a subscheme of  $\mathbf{P}_{\mathbf{C}}^d$ . We call  $\Phi^*(\mathcal{O}_{\mathbf{P}_{\mathbf{C}}^d}(1)) = \mathcal{O}_X(1)$ .

**Conjecture 1.3.75** (Fujita). *If  $X$  is a smooth, irreducible scheme,  $\mathcal{L}$  has a power which is very ample (we say  $\mathcal{L}$  is ample), and  $\omega_X \cong \mathcal{O}_X(K_X)$  where  $K_X$  is a “canonical divisor,” then*

1.  $\omega_X \otimes \mathcal{L}^{\dim X + 1}$  is basepoint free, and
2.  $\omega_X \otimes \mathcal{L}^{\dim X + 2}$  is very ample.

*The sheaf  $\omega_X \otimes \mathcal{L}^n$  is called an adjoint bundle.*